



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Edward H. Oldfield et al.

Application No.: 10/528,310

Filed: March 17, 2005

Confirmation No.: 7600

For: METHOD FOR CONVECTION
ENHANCED DELIVERY OF
THERAPEUTIC AGENTS

Examiner: Karen C. Carlson

Art Unit: 1656

Attorney Reference No. 4239-66640-05

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450 on the date shown below.

Attorney or Agent
for Applicant(s)

Joseph H. Rupert

Date Mailed November 5, 2007

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. § 1.97(c)

Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent. This Information Disclosure Statement ("IDS") is being mailed before Applicants received a final action, a notice of allowance, or an action that otherwise closes prosecution in the referenced application.

It is the undersigned's understanding from the Office of Technology Transfer at the National Institutes of Health (NIH) (which has delegation of authority to act on patent matters for the assignee) that two of the documents cited in the accompanying Form PTO-1449 (Lam et al. and "Monitoring Infusion of Protein into Brain by Computed Tomography) were presented in a non-public forum to employees of the NIH.

11/08/2007 TNGUYEN2 00000039 10528310

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180.00 OP

Submitted herewith is a check for \$180.00 as required by 37 C.F.R. § 1.17(p) for filing this IDS in compliance with 1.97(c). Please charge any additional fees which may be required in connection with filing this IDS, or credit any overpayment, to Deposit Account No. 02-4550. A duplicate copy of this sheet is enclosed.

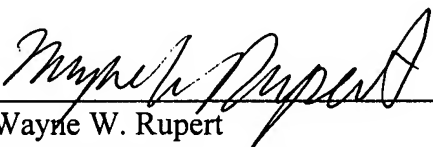
The filing of this IDS shall not be construed to be an admission that the information cited in the statement is, or is considered to be, prior art or otherwise material to patentability as defined in 37 C.F.R. §1.56.

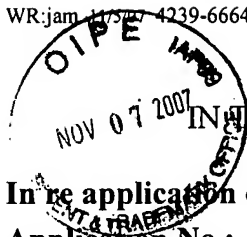
Respectfully submitted,

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TRANSMITTAL LETTER

Enclosed for filing in the application referenced above are the following:

- ☒ Supplemental Information Disclosure Statement
 - ☒ Form 1449 and references cited thereon
 - ☒ IDS Fee of \$180.00
- ☒ A check in the amount of \$180.00 to cover the above-listed fee
- ☒ The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Deposit Account No. 02-4550. A copy of this sheet is enclosed.
- ☒ Please return the enclosed postcard to confirm that the items listed above have been received.

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